



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re the Patent Application

Masahiro YAMADA et al.

Serial No. 09/842,021

Filed: April 26, 2001

For: OPTICAL DEVICE, OPTICAL
SYSTEM, METHOD OF
PRODUCTION OF SAME, AND
MOLD FOR PRODUCTION OF
SAME

Examiner: J.M. Schwartz

Group Art: 2873

INFORMATION DISCLOSURE STATEMENT AFTER FINAL ACTION BUT BEFORE
PAYMENT OF ISSUE FEE WITH CERTIFICATION, PETITION AND FEE

Commissioner for Patents
Washington, D.C. 20231

Sir:

The Applicant, through its representatives and attorneys, hereby brings to the attention of the Examiner the seven (7) documents noted on the accompanying PTO Form 1449. Copies are provided except for the US Patent to Rottmann, No. 3,532,038 previously cited and relied upon in this prosecution. The Applicant respectfully petitions for consideration of these documents. Please charge the Applicant's Deposit Account for the requisite fee of \$180.

This will certify that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. Specifically, these documents were cited in a Partial European Search Report (PESR) dated December 1, 2003. A copy of the PESR is provided, together with a copy of an Annex to the PESR

SON-2084

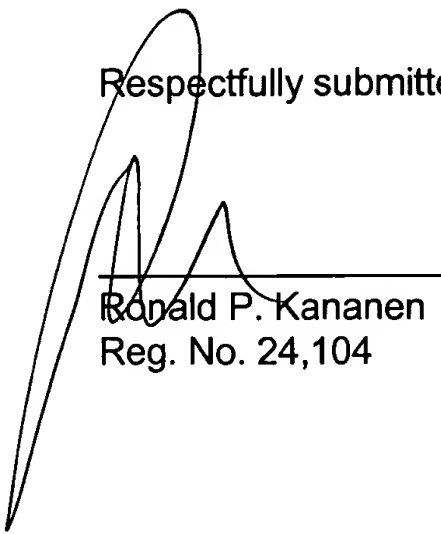
PATENT APPLICATION

showing apparent patent family members for each document, where available.

Please promptly grant this petition, consider the newly-cited references, and make that consideration of record.

Respectfully submitted,

Dated: December 19, 2003



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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	09/842,021
				Filing Date	April 26, 2001
				First Named Inventor	Masahiro Yamada
				Art Unit	2873
				Examiner Name	J.M. Schwartz
Sheet	1	of	1	Attorney Docket Number	SON-2084

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	3,532,038	10/6/70	Rottman	
	AB	5,867,315	2/2/99	Koike et al	
	AC	1,521,563	12/30/24	C. Pfleegor	
	AD	6,043,940	3/28/00	Kamiyama et al	
	AE				
	AF				

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	BA	2000-090469A	3/31/00	Ricoh Co Ltd		ξ
	BB	JP 63 206721A	8/26/88	Olympus Optical Co. Ltd.		X
	BC	WO 97 35811 A	10/2/97	Nippon Sheet Glass Co. Ltd.		ξ
	BD					

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See attached Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	EPO Search Report 12/01/03	X

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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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